# Top ten regulartory tips for general practitioners

Thursday 18 September 2014 09:30 to 12:30hrs
Ramside Hall Hotel, Carrville, Durham DH1 1TD

**Objectives**

### No accounting practice is in business just to satisfy regulators but failure to comply can have both professional and financial consequences. This course looks at a topical “top ten” regulatory trip hazards, considers why they arise and how to avoid them

This course is designed to give you confidence that you are keeping on top of your obligations in running your firm. This course is probably the most important and valuable half day of CPD you could get this year.

**Content will include**

The detailed content will be informed by recent feedback from regulators, including accountancy bodies, the Registrar of Companies etc., but will typically include the following areas:

* Anti-money laundering compliance
* Money laundering reporting and other reports to regulators – including tips on the form and content of reports
* Practice administration – including professional regulatory requirements and notifications
* Regulations related to client relationships – data protection, consumer credit, contractual matters
* Company secretarial and filing

The course will use real life (anonymous) examples of situations that accountants have faced.

**Stephanie Henshaw** is Technical Standards Partner with Francis Clark LLP and a member of the ICAEW Financial Reporting Faculty Board. She is a regular speaker on audit, accounting and company law matters to District Societies, training groups and firms. As a partner in a busy practice she has direct experience of how changes in standards and legislation really impact on day to day working life.

**Credit/Debit Card Booking – Tel 01908 248159**

**Online Booking - £84.00 inc VAT, Retired/not employed £42.00 inc VAT at** [**www.icaew.com/northern**](http://www.icaew.com/northern)

**Postal Booking by cheque - £90.00 inc VAT, Retired/not employed £45.00 inc VAT**

Further information contact Joanne Lucking on 0191 300 0532 joanne.lucking@icaew.com

**\*I/we would like to attend the Top ten regulatory tips for general practitioners course Thursday 18 September 2014** (booking ref. DNORSEM140918)

If paying for multiple attendees please insert thelead name below with details of others - name, organisation & membership number - overleaf

Name: Organisation:

E-mail: Tel:

Address:

 Postcode:

Institute Membership No. (if applicable): ⬜⬜⬜⬜⬜⬜⬜ Tick this box if you require a VAT Receipt ⬜

**I wish to reserve ….... place(s) at the above seminar. I enclose my cheque for £…………… payable to “NSCA”**